

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: <b>Ramachandran et al.</b>	§
	§ Group Art Unit: <b>Not Assigned</b>
Serial No.: <b>Not Assigned</b>	§
	§ Examiner: <b>Not Assigned</b>
Filed: _____	§
	§ Attorney Docket No.: <b>AUS920030501US1</b>
For: <b>Method and Apparatus for Monitoring</b>	§
<b>Compatibility of Software Combinations</b>	§

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

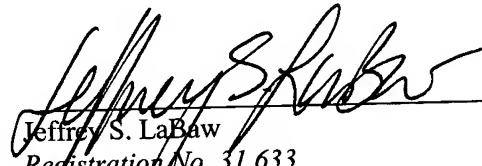
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 7/24/03

Respectfully submitted,

  
Jeffrey S. LaBaw  
Registration No. 31,633  
IBM Corporation  
Intellectual Property Law  
11400 Burnet Road  
Austin, Texas 78757  
(512) 823-0494

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			<b>ATTORNEY DOCKET NO.</b> <b>AUS920030501US1</b>		<b>SERIAL NO.</b> <b>Not Assigned</b>	
			<b>APPLICANT</b> Ramachandran et al.			
			<b>FILING DATE</b>		<b>GROUP ART UNIT</b> Not Assigned	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA	6,453,468 B1	Sep. 17, 2002	D'Souza	717/168	Jun. 30, 1999
	AB	6,484,315 B1	Nov. 19, 2002	Ziese	717/173	Feb. 1, 1999
	AC	6,195,765 B1	Feb. 27, 2001	Kislanko et al.	714/38	Jan. 5, 1998
	AD	5,694,540	Dec. 2, 1997	Humelsine et al.	395/183.14	Jan. 16, 1996
	AE	6,425,118 B1	Jul. 23, 2002	Molloy et al.	717/4	Jul. 17, 1998
	AF	5,675,802	Oct. 7, 1997	Allen et al.	395/703	Mar. 31, 1995
	AG	5,787,280	Jul. 28, 1998	Joseph et al.	395/619	Jun. 7, 1995
	AH	4,558,413	Dec. 10, 1985	Schmidt et al.	364/300	Nov. 21, 1983
	AI	5,339,435	Aug. 16, 1994	Lubkin et al.	395/700	Nov. 24, 1993
	AJ	6,457,170 B1	Sep. 24, 2002	Boehm et al.	717/106	Aug. 13, 1999
	AK	6,438,743 B1	Aug. 20, 2002	Boehm et al.	717/122	Aug. 13, 1999
	AL	6,501,995 B1	Dec. 31, 2002	Kinney et al.	700/1	Jun. 30, 1999
	AM	5,966,539	Oct. 12, 1999	Srivastava	395/709	Nov. 3, 1997
	AN	6,526,571 B1	Feb. 25, 2003	Aizikowitz et al.	717/154	Mar. 16, 1999
	AO	5,794,052	Aug. 11, 1998	Harding	395/712	Feb. 27, 1995
	AP	6,513,045 B1	Jan. 28, 2003	Casey et al.	707/104.1	Nov. 17, 1999
	AQ	6,477,703 B1	Nov. 5, 2002	Smith et al.	717/168	Jun. 29, 1999
	AR	6,063,134	May 16, 2000	Peters et al.	717/11	Mar. 5, 1998
	AS	2002/0104042	Aug. 1, 2002	Wong et al.	714/38	Jan. 26, 2001
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
	AT	EP1164475A2	Dec. 19, 2001	Europe	G06F9/445	X
	AU	JP10260820A	Sep. 29, 1998	Japan	G06F9/06	X
	AV	JP10083284A	Mar. 31, 1998	Japan	G06F9/06	X
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>						
	AW	Carey et al., "The Architecture of the EXODUS Extensible DBMS", 1986 IEEE, ACM Digital Library, pp. 52-65.				
	AX	Kistler et al., "Continuous Program Optimization: A Case Study", ACM Transactions on Programming Languages and Systems, Vol. 25, No. 4, July 2003, pp. 500-548.				
<b>DATE CONSIDERED</b>			<b>EXAMINER</b>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						